| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/DELECTED OFFICE (DO/GOUS) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. DETERNATIONAL FILING DATE PRIORITY DATE CLAIMED TO STATE THE CATTERNATIONAL FILING DATE PRIORITY DATE CLAIMED THE OF INVENTION ANTIPARASITIC ARTEMISIN DERIVATIVES (ENDOPEROXIDES) APPLICANT(S) FOR DOGGUIS HAYNES, et al. Applicant berewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1 | FORM PTO-1390 U.S. DEPARTMENT OF COM (REV. 11-2000) | IMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY 'S DOCKET NUMBER | | | | | |
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| NOTERNING A FILING UNDER 35 U.S.C. 371 NOTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PORT/GB999/02267 14 July 1999 (14.07.99) 15 July 1999 (14.07.99) 16 July 1999 (14.07.99) 17 JULE OF INVENTION APPLICATION NO ANTIPARASITIC ARTEMISIN DERIVATIVES (ENDOPEROXIDES) APPLICANT(S) FOR DOJEOUS HAYNES, et al. Applicant herewith submits to the United States Designated/Elected Office (DOJEO/US) the following items and other information: 1 | TRANSMITTAL LETTER | TO THE UNITED STATES | Le A 33 820 | | | | | |
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| ### PCTIGES# INVENTION ANTIPARASITIC ARTEMISIN DERIVATIVES (ENDOPEROXIDES) APPLICANT(S) FOR DO/EO/US | CONCERNING A FILIN | 09/743,827 | | | | | | |
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| Applicant herewith submission of the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | AYNES et al. | | | | | | |
| 1. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (55, (6), (9), and (21) indicated below. 1. The US has been elected by the expiration of 19 months from the priority date (Article 31). 2. A copy of the International Application as filed (35 U.S.C. 371(e)(2)) 3. Is attached hereto (required only if not communicated by the International Bureau). 4. Is not required, as the application was filed in the United States Receiving Office (RO/US). 5. A English language translation of the International Application as filed (35 U.S.C. 371(e)(2)). 6. An English language translation of the International Application as filed (35 U.S.C. 371(e)(2)). 8. Is attached hereto. 9. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(e)(3)). 9. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(e)(3)). 10. In a rea stateched hereto (required only if not communicated by the International Bureau. 10. In have not been made; however, the time limit for making such amendments has NOT expired. 11. In have not been made and will not be made. 12. In have not been made and will not be made. 13. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (e)(3)). 14. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(e)(5)). 16. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(e)(5)). 16. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 17. A FIRST preliminary amendment. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. White the published int | | | the following items and other information: | | | | | |
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| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: 1) Copy of Notification of Missing Requirements; 2) Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) 3) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE Of MAILING UNDER 37 C.F.R § 1.8 The undersigned hereby certifies that this paper and any papers efferted to as attached livare being deposited with the United State Postal page 1 of 2 10. A page 1 of 2 11. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 12. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 13. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 14. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 15. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 16. A second copy of the English language translation of the international application under 35 U.S.C. 1.821 - 1.825. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the English language translation of the interna | | ne annexes of the International Preliminary E | xamination Report under PCT | | | | | |
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| A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Copy of Notification of Missing Requirements; Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8 The undersigned hereby certifies that this paper and any pepers aftered to as attached is are being deposited with the United States Postal Services with sufficient postage as first class main in an envelope eddressed to the Assistant Commissioner for Patents. Washington, D.C. 2023 on this 2 120 day of 2021 | 14. A SECOND or SUBSEQUENT pr | eliminary amendment. | | | | | | |
| A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: 1) Copy of Notification of Missing Requirements; 2) Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) 3) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8 The undersigned hereby certifies that this paper and any papers aftered to as attached isfare being deposited with the United States of the Assistance of Postal Service with sufficient postans. Bs first class mail in an elevelope eddressed to the Assistance for Patents, Washington, D.C. 20231 on this 2 111 day of 20231. | 15. A substitute specification. | | | | | | | |
| A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: 1) Copy of Notification of Missing Requirements; 2) Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) 3) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8 The undersigned hereby certifies that this paper and any papers aftered to as attached is/are being deposited with the United State Postar with sufficient postage as first class mait in an elivelope addressed to the Assistant Commissioner for Paterts, Washington, D.C. 20231 on this 24 11 day of 20231 on this 24 2031 | 16. A change of power of attorney and | /or address letter. | | | | | | |
| 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: 1) Copy of Notification of Missing Requirements; 2) Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) 3) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8 4) Return Receipt Postcard. The undersigned hereby certifies that this paper and any papers elemed to as attached sistare being deposited with the United State Postal Services with sufficient postage. As first class mail in an elevelope eddressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this 2 1 11 day of 2001 | 17. A computer-readable form of the se | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | | |
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| 1) Copy of Notification of Missing Requirements; 2) Petition, Fee, and Statement of Facts under 37 C.F.R Section 1.47(a) 3) Declaration of Richard Haynes in support of Petition under 37 C.F.R. Section 1.47(a) CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8 4) Return Receipt Postcard. The undersigned hareby certifies that this paper and any papers eferred to as attached islare being deposited with the United States Postard to as attached islare being deposited with the United States Postard Commissioner for Patents, Washington, D.C. page 1 of 2 page 1 of 2 20231 on this 2 1 11 day of 2 20231 | 19. A second copy of the English langu | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
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| page 1 of 2 Service with sufficient postage. as first class mail in an elevalope edgressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this 2 1111 day of 4 2001 | | The | undersigned hereby certifies that this paper and any papers inferred | | | | | |
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| Signifure of Person Certifying | | 200 | 731 on this 64 117 day of 1014 2001 | | | | | |
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| U.S. APPLICATION NO. (if kno 09/743,827 | wn, see 37 CFR 1.5) | | TERNATIONAL APPLICATION NO. CT/GB99/02267 | | ATTORNEY'S DOCKET NUMBER Le A 33 820 | | |
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| 21. The follow | ring fees are subm | itted: | | | CAI | CULATIONS I | TO USE ONLY |
| BASIC NATIONAL | | | (1) - (5)): | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00 | | | | | | | |
| International preling but international se | minary examinatio earch fee (37 CFR | n fee (3 1.445(a | 37 CFR 1.482) not paid to a)(2)) paid to USPTO | USPTO \$710.00 | : | | |
| International prelin but all claims did n | minary examinatio not satisfy provisio | n fee (3 ons of P | 37 CFR 1.482) paid to US CT Article 33(1)-(4) | SPTO \$690.00 | | | |
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| | | | or declaration later than | | Φ | | |
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| Applicant claim are reduced by | s small entity stat | | e 37 CFR 1.27. The fees | | \$ | .0.00 | |
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| Processing fee of \$1 months from the ear | Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 13 | 0.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | |
| TOTAL FEES ENCLOSED = | | | \$ 13 | 0.00 | | | |
| 08/02/2001 MMGI 01 FC:154 | JYEN 00000043 1 130.00 CH | 33372 | 09743827 | | | unt to be efunded: | \$ |
| VI FC:134 | 130100 CH | | | | | charged: | \$ |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No. 13-3372 in the amount of \$ 130.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3372 . A duplicate copy of this sheet is enclosed. | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | | | |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | |
| SEND ALL CORRESPO | ONDENCE TO: | | | CA | ri | , L. Ch | in |
| Jeffrey M. Green | | | | SIGNATU | | | |
| Vice President, Patents and Licensing Bayer Corporation Gerrie | | | | | L. Chi | u | |
| 400 Morgan Lane | | | | | | | |
| West Haven, CT | | | | 41,670 | | | |
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| | U.S. APPLICATION NO. | 1 | FIRST NAME | | | |
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| | NOTIFICATION OF MI | SSING REQUIREME | NTS UNDE | R 35 U.S.C. 371 I | IN THE UNITE | D |
| | STATE | S DESIGNATED/ELE | CTED OFF | ICE (DO/RO/US) | | |
| | The following items have been | n submitted by the applicant | or the IB to the | United States Patent | and Trademark | |
| | Urnce as [a Designated | Office (37 CFR 1.494), | • | | | |
| | O.S. Basic National Fee. | ffice (37 CFR 1.495): | | | | |
| | Copy of the international | application in | | | | • |
| | a non-English | | | • | | |
| | English. | | | | | |
| | Translation of the internat | ional application into English | 2. | | • | |
| | Oath or Declaration of inv | rentors(s) for DO/EO/US. | | | | |
| | Copy of Article 19 amend | | | | HE | CEIVED |
| | Translation of Article 19 a | amendments into English. | | | | _ |
| | The International Prelimin | ary Examination Report in E | nglish and its | Annexes, if any. | FE | B 1 4 2001 |
| | ☐ Translation of Annexes to ☐ Preliminary amendment(s) | the international Preliminary | | Report into English. | | |
| | Information Disclosure Sta | | and and | ·· | JEFFRE\ | M. GREENMAI |
| | ☐ Assignment document. | | and | ' | | |
| , | Power of Attorney and/or | Change of Address. | * | | | |
| | Substitute specification file | ×d. | | | | |
| | Statement Claiming Small | Entity Status. | | | | |
| | Priority Document. | شهر 🗕 ساده باست | 4 | | • | • |
| | Copy of the International S | Search Report - and copies | of the reference | ces cited therein. | | |
| 2 | 2. The following items MUST be | furnished within the period | set forth halon | is order to complete | | |
| 2 | ecceptance under 35 U.S.C. 371: | | | | | |
| | a. Translation of the applic | cation into English. Note a r | processing fee v | will be required if sub- | mitted | |
| | later than the appropriat | e 20 or 30 months from the | priority date. | | | |
| | The current trans | lation is defective for the res | sons indicated | on the attached Notice | of Defective | |
| | Translation. | din aka anangadan 1834. | | | | · • |
| | b. Processing fee for provi | onths from the priority date (| plication and/o | of the Annexes later th | at the | |
| | C. Oath or declaration of the | te inventors, in compliance t | 27 CFR 1.492(with 37 CFD 1 | ii)). 407(a) and (b) identif | hdaa sha1!! | |
| | by the International appl | ication number and internati | onal filing date | · | And me abbrication | |
| | ☐ The current oath | or declaration does not comp | ly with 37 CF | R 1.497(a) and (b) for | the reasons indicate | a |
| | on the attached P | CT/DO/EO/917. | | | | • |
| | ☑ d. Surcharge for providing | the oath or declaration later | that the approp | priate 20 or 30 months | from the | |
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| د ب | Additional claim fees of \$ | as a large entit | y ☐ small en | ity, including any requ | uired multiple | |
| | ependent claim fee, are required. which fees are due (37 CFR 1.492) | (a)) See attached DTO 975 | roginonal ciair | n lees or cancel the ad | ditional claims for | |
| | | (8)). See amacant 110-013 | • | | * | |
| A | LL OF THE ITEMS SET FOR | TH IN 2(a)-2(d) AND 3 A1 | OVE MUST | BE SUBMITTED W | TRIN ONR | |
| N | IONTH FROM THE DATE OF | THIS NOTICE OR BY Γ | 121 OR 138 | MONTHS FROM TO | HR PRIORTTY | |
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| H | ESULT IN ABANDONMENT. | , | | | | |
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| ċ | The time period set above may be CFR 1.136(a). | extended by tiling a pention | and lee lot ex | tension of time under | the provisions of 37 | |
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| 4 | . Translation of the Annexes MU | JST be submitted no later the | at the time peri | od set above or the an | nexes will be | |
| C | ancelled. Note processing fee will | ll be required if submitted la | ter than 30 mo | oths from the priority | Aste | • |
| 5 | . The Article 19 amendments : | are cancelled since a translat | ion was not pro | ovided by the appropri | ate 20 (37 CFR | |
| ı | .494(d)) or 30 (37 CFR 1.495(d)) | months from the priority di | ite. | | | |
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| 21 | applicant is reminded that any condition of the desired and in the heading and in | minumication to the United Si | ates Patent and | I I rademark Office m | ast be mailed to the | |
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| - | inclosed: PCT/DO/EO/917 PTO-875 | ☐ Notice of Defective | I ranslation | Lamont Hunder | | |
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